

ASSANTE FEE SCHEDULE



EFFECTIVE DATE: JANUARY 1, 2023

Annual client fees		Transfer fees	
Annual client fee*	\$75	Full transfer-out & complete de-registration	\$125
Waived for clients with a minimum of \$1,000,000 invested (by SIN/BIN/TIN)			

Other fees		
<ul style="list-style-type: none"> Returned cheque Stop payment Wire transfer 	<ul style="list-style-type: none"> Annual position fees Tax slip/contribution receipt duplicate Delivery of registered certificates 	Waived

*Collected in June for any accounts held on January 1, 2023. Client fees are not pro-rated.
 *Charged prior to a full transfer out.
 *Waived for clients who hold exclusively: Group RRSPs and/or RDSP and/or Donor Advised Accounts and/or closed products (valued at \$0.00).

NOMINEE ACCOUNTS INTEREST RATES

Paid on credit balances held in Assante registered and non-registered nominee plans.

\$0.00 - \$99,999.99	Prime - 5.00%	\$100,000.00 and over	Prime - 4.00%
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INTEREST RATES CHARGED ON DEBIT BALANCES

Cash/Registered Accounts	Prime + 4.00%	U.S. Margin Accounts	Prime + 1.50%
Canadian Margin Accounts	Prime + 1.50%	VIP Margin interest rates available upon request for client household with more than \$1,000,000 with advisor.	

NOTES

- All fees are subject to GST, PST, and/or HST where applicable
- Assante reserves the right to charge time and material for extraordinary requests such as requests that require extensive account research and/or investigation

Assante Financial Management Ltd. is registered nationally as a dealer in the category of mutual fund dealer and as a dealer in the category of exempt market dealer and a member of the Mutual Fund Dealers Association of Canada.

Assante Capital Management Ltd. is registered nationally as a dealer in the category of investment dealer and member of the Investment Industry Regulatory Organization of Canada (IIROC) and a participating organization of the Toronto Stock Exchange.